

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 206339US0
First Inventor or Application Identifier Vitaliy Arkadievich LIVSHITS, et al.		
Title AMINO ACID PRODUCING STRAINS BELONGING TO THE GENUS ESCHERICHIA AND METHOD FOR PRODUCING AMINO ACID		
Assignee Name: Ajinomoto Co., Inc.		
Assignee Address: 15-1, Kyobashi 1-chome, Chuo-ku, Tokyo, JAPAN		



04/25/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231JC97 U.S. PTO
04/25/01

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Sheets **38**

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **4**
Formals

4. ☒ Oath or Declaration Total Pages **3**

- a. ☒ Newly executed (original)
b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 17 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)

☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification or Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ Paper

c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure
Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☒ Certified Copy of Priority Document(s) (1)
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
16. ☒ Other: Notice of Priority, Receipt of an Original
Deposit of Microorganisms for the Purpose
of Patent Procedure (B-7914 and B-7915)

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on
☐ Which was published in English
☐ Which was not published in English
☐ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



22850

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Name:	Norman F. Oblon	Registration No.:	24,618
Signature:		Date:	4/25/01
Name:	C. Irvin McClelland	Registration No.:	

Registration Number 21,124

Docket No. 206339US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

* INVENTOR(S) Vitaliy Arkadyevich LIVSHITS, et al.

SERIAL NO: New Application

* FILING DATE: Herewith

FOR: AMINO ACID PRODUCING STRAINS BELONGING TO THE GENUS ESCHERICHIA AND METHOD FOR PRODUCING AMINO ACID

JC971 U.S. PTO
09/841609
04/25/01

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	10 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$80 =	\$0.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$270.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$980.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$980.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$980.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
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(OSMMN 10/00)

4/25/01

Appendix 3
page 14

Budapest treaty on the international
recognition of the deposit of microorganisms
for the purposes of patent procedure

To
Ajinomoto Co., Inc

INTERNATIONAL FORM
receipt in the case of an original deposit
issued pursuant to Rule 7.1 by the
INTERNATIONAL DEPOSITARY AUTHORITY
identified at the bottom of this page

1-15-1 Kyobashi, Chuo-ku
Tokyo 104-8315
Japan
name and address
of depositor

I. IDENTIFICATION OF THE MICROORGANISM

Identification reference given by the DEPOSITOR	Accession number given by the INTERNATIONAL DEPOSITARY- AUTHORITY:
Escherichia coli W3350 csc	B-7914

II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION

The microorganism identified under I above was accompanied by:

<input checked="" type="checkbox"/> a scientific description	Donor of non-PTS sucrose utilization
<input type="checkbox"/> a proposed taxonomic designation	(CSC) genes

(Mark with a cross where applicable)

III. RECEIPT AND ACCEPTANCE

This International Depositary Authority accepts the microorganism identified under I above,
1 which was received by it on 11.02.00 (date of original deposit)

IV. RECEIPT OF REQUEST FOR CONVERSION

The microorganism identified under I above was received by this International Depositary
Authority
on 11.02.00 (date of original deposit) and a request to convert the
original deposit to a deposit under the Budapest Treaty was received by it on
15/03/01 (date of receipt of request for conversion)

V. INTERNATIONAL DEPOSITARY AUTHORITY

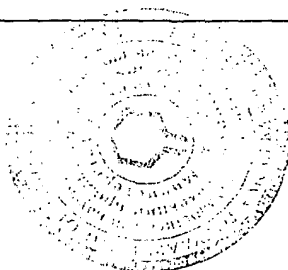
Name: Russian National Collection
of Industrial Microorganisms (VKPM)

GNIgenetika
Address: Russia 113545 Moscow
1 Dorozhny proezd 1

Signature (s) of person(s) having the
power to represent the International
Depositary

Authority or authorized official(s):

Date: Sineoky S.P.



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105240 60914860